

02/28/02  
1054 U.S. PTO

03-04-02

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(New Nonprovisional Applications Under 37 CFR § 1.53(b))**

Attorney Docket No.  
50325-0644

10/08/02 PRO  
JC868 U.S. PTO  
02/28/02

**TO THE COMMISSIONER FOR PATENTS:**

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Khanh V. Nguyen, et al., entitled METHOD AND APPARATUS FOR ENCRYPTING DATA COMMUNICATED BETWEEN A CLIENT AND A SERVER THAT USE AN UNENCRYPTED DATA TRANSFER PROTOCOL, for a(n):

- (X) Original Patent Application.
- ( ) Continuing Application (prior application not abandoned):  
( ) Continuation      ( ) Divisional      ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_  
( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification 49 Total Pages; (X) Drawing(s) 8 Total Sheets; (X) Cover Sheet 1 Page  
(X) Oath or Declaration: 2 Pages  
(X) A Newly Executed Combined Declaration and Power of Attorney:  
(X) Signed.      ( ) Unsigned.      ( ) Partially Signed.  
( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference in its entirety for all purposes.  
( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
( ) Power of Attorney.      (X) Return Receipt Postcard.  
( ) Associate Power of Attorney.      (X) A Check in the amount of \$1362.00 for the Filing Fee.  
( ) Preliminary Amendment.      ( ) Information Disclosure Statement and Form PTO-1449.  
(X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
(X) A Duplicate Copy of this Form for Processing any Deficiency or Overpayment of Fee Against Deposit Account.  
( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
( ) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.  
( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
(X) Recordation of Assignment Cover Sheet and executed Assignment.  
( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	29	9	\$18.00	\$ 162.00
Independent Claims	8	5	\$84.00	\$ 420.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Assignment Recording Fee				\$ 40.00
Basic Filing Fee				\$ 740.00
			Total Filing Fee	\$1362.00

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:   
Christopher J. Palermo  
Attorney of Record, Reg. No. 42,0056

Date: February 28, 2002

Correspondence Address:



29989

PATENT TRADEMARK OFFICE

Express Mail Label No.: EL734971471US

Date of Deposit: February 28, 2002

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision,  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$1362.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Khanh V. Nguyen, et al.
Examiner Name	
Group/Art Unit	

Attorney Docket No. 50325-0644

## METHOD OF PAYMENT (check one)

1.  Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number 50-1302

Deposit Account Name Hickman Palermo Truong & Becker, LLP

2.  Payment Enclosed:

Check  Money Order  Other

3.  Applicant(s) is entitled to small entity status.

See 37 CFR 1.27

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Fee \$	Small Entity Fee Code	Fee \$	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
Other fee (specify)					
SUBTOTAL (2) (\$ 582.00)				*Reduced by Basic Filing Fee Paid	SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Christopher J. Palermo	Registration No (Attorney/Agent)	42,056	Telephone	(408) 414-1080
Signature	<i>Christopher J. Palermo</i>			Date	February 28, 2002

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Express Mail No. EL734971471US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>		First Named Inventor  Khanh V. Nguyen, et al.
		Title  METHOD AND APPARATUS FOR ENCRYPTING DATA COMMUNICATED BETWEEN A CLIENT AND A SERVER THAT USE AN UNENCRYPTED DATA TRANSFER PROTOCOL
		Atty. Docket Number  50325-0644

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 28, 2002

  
Christopher J. Palermo  
 Reg. No. 42,056

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Patent Applications, Commissioner for Patents, Washington, DC 20231